Investigation of self-excited induction generator for supporting domestic loads and its extension to a microgrid

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Abstract: This study provides a technical and financial analysis for the incorporation of a microgrid structure with a wind energy conversion system for producing electricity. This study’s primary aim is to provide solutions for issues that arise when isolated induction generators are employed with microgrids. A closed-loop smart electronic load controller is used to regulate the loads in the system that are supplied by the generation. A switched variable capacitor bank is used to supply reactive power initially during a voltage dip at varying winds and loads to sustain the voltage profile. Additionally, a simple voltage control loop-based controller for the generator side converter maintains the voltage at a steady level. Using HOMER software, an economic study of the suggested wind-based microgrid structure is also presented. A laboratory experimental setup is used to support the MATLAB/Simulink study of the proposed method and its control. The findings back the feasibility of implementing the suggested plan in grid-isolated regions for supplying critical loads.

Keywords: induction generator; microgrid; smart electronic load controller; voltage regulation; wind power

1. Introduction

Nowadays, the mainstream of the energy used to generate electricity comes from the burning of fossil fuels like coal, oil, and natural gas. These fossil fuels have finite stocks, and burning them releases a significant amount of toxic gases into the atmosphere. Therefore, finding clean and sustainable sources of energy is always necessary. Wind is a pure and limitless renewable energy source. There are several ways to transform wind energy into electrical form, but using a wind turbine is by far the most common method [1]. In theory, any sort of generator may be installed atop a wind turbine to produce electricity. Even if the generator only produces direct current or alternating current with variable amplitude and frequency, the requirement for grid-compatible electric current may currently be satisfied by connecting appropriate converters.

With its robustness, minimal maintenance requirements, and easy controls, the induction generator (IG) seems to be an excellent option for these applications [2]. IG is preferred for producing wind energy due to its ease of use, dependability, and compact size per generated kilowatt. Moreover, IGs do not require an external power source to produce the excitation magnetic field. They can therefore be applied in far-off [3] and grid-isolated isolated locations [4]. The main limitations of IGs, however, are reactive power consumption and poor voltage regulation at variable speeds, although the invention of static power converters has made it easier to regulate the output voltage of IGs [5].

Because it does not require an external power source to generate the magnetic
field, the self-excited induction generator (SEIG) is an excellent choice for wind driven electric generation applications, particularly for variable wind speeds and isolated places. Although the SEIG scheme was discovered more than 80 years ago, a significant number of research publications have only recently been increasingly focused on the examination [6] and uses of SEIGs [7]. This is because voltage and frequency control techniques have advanced, and because the development of renewable energy sources has received enormous global attention over the past thirty years.

The SEIG system’s inability to regulate voltage and frequency under variable load situations is its primary operating issue. The machine excitation is immediately impacted by a change in the load impedance. This is because both the induction machine acting as a generator and the load impedance share the excitation capacitors’ reactive power. As a result, when the load impedance is increased, the generator’s voltage lowers, leading to poor voltage control. On the other hand, even though the speed of the prime mover is constant, the slip of the induction generator rises with increasing load, resulting in a frequency that depends on the load. Many studies have been conducted in the past to regulate the voltage and frequency of a SEIG system operating with variable loads. A high-cost speed governor is generally used as a conventional SEIG controller. Sliding mode controller was proposed showing controlled dynamic response and behavior of the system upon changes in generator parameters and load [8]. Regulating voltage and frequency of a SEIG under varying load conditions by an electronic load controller (ELC) was examined [9]. With the adoption of static converters, the voltage regulation problem is somewhat resolved [10] although the system cost is increased to some considerable extent [11]. Often maximum power point tracking (MPPT) based control techniques are adopted. Although various MPPT approaches make it easier to operate [12] especially in grid-connected generation [13], standalone grid-secluded generation is frequently controlled without MPPT to reduce the system complexity [14]. However, maintaining a constant generation becomes crucial since independent generations are frequently employed to handle important loads [15]. Hybrid energy storage based microgrids with modified controls is recently studied for wind power generation [16]. The use of SEIG under unbalanced conditions has also been studied for power generation [17]. This method although cheap, requires derating the machine and thus IG operates with reduced capacity. Recent researches for wind based renewable power generation focuses control based on electric springs for flexible voltage control and wind power integration at the expense of cost and complexity [18,19]. Lowering the cost of electric spring based microgrid is also attempted in the study of Mohanty et al. [20]. Although, sometimes it is difficult to effectively regulate voltage for SEIGs without increasing the system cost and complexity.

This research includes a technical and economic analysis of the IG system when feeding varying domestic loads in an effort to aid in the resolution of the aforementioned operational issues of SEIGs. A dynamic capacitor-based voltage regulation scheme is proposed in the planned study which is shown to be promising in providing variable reactive power initially during load and speed transients. A smart electronic load controller (SELC) is also proposed for load control which is unique. The transient response is observed to be better using a simple voltage loop-
based converter control for the IG. The IG can then be connected to other renewable generating sources for providing continuous power to grid-isolated loads.

2. System description

The proposed generation system consists of a three-phase induction machine that is connected to wind turbine for generation purpose. The IG is connected to a bus where system loads can also be connected for supply. To supply the initial reactive power, the stator windings of the induction machine are linked to a capacitor bank. The initial excitation is calculated from reactive power balancing [21]. For augmenting and supporting generation, the IG system can also be connected to a standby PV panel. A storage battery is also connected via a charge controller to support the loads during lean generation periods. The overall connected system is shown in Figure 1.

![Figure 1. Block diagram of the wind-PV hybrid generation system.](image)

The proposed system is envisioned to be used in a grid-isolated location in an onshore eastern Indian location. The location is not connected to a grid and hence the utility of the proposed scheme of generation is of prime importance in supplying power to the remotely connected loads.

3. Proposed control scheme

The proposed system generation is provided using the IG. With the IG, a photovoltaic (PV) panel may also be connected. For the control, the microgrid structure with PV panels is not considered. The control is mainly focused at the IG side control of the smart electronic load controller (SELC) and the capacitor bank control with variable wind speeds and variable loads. The control scheme is shown in Figure 2. The SELC consists of an ESP based Wi-Fi enabled controller which can turn ON/OFF consumer loads based on the generated voltage and load requirement. This is done with the help of relay control which is obtained using commands to be provided by the operator using the ESP board and a visual dashboard. The entire communication established with the load is done using internet-of-things (IoT). Any communication protocol may be used such as the popular message queuing telemetry transport (MQTT) [22] or data distribution system as in the study of Chatterjee et al. [23]. Here, MQTT is used as it is lightweight and flexible for such control [24].
For the proposed automatic load control, the DC bus voltage is sensed using a voltage sensor. The reference is compared and the set error is sent to a proportional-integral controller (PI1). The output from the PI1 is taken as the reference data for sending it to SELC. The variable capacitors are controlled from load and speed parameters according to necessity. The SELC consists of an ESP8266 based controller. The operator is thus in a position to control the loads automatically using the sensed voltage data or can decide to turn ON/OFF loads as per requirement using the SELC controller.

![Diagram of the control scheme for IG with loads.](image)

**Figure 2.** Block diagram of the control scheme for IG with loads.

### 4. Connection with microgrid

The IG based generation system is to be connected with a microgrid and its cost will also have to be estimated. The cost estimation and optimization are done using “HOMER” software [25]. HOMER Energy is the global standard for optimizing microgrid design in all sectors, from village power and island utilities to grid-connected campuses and military bases. Originally developed at the National Renewable Energy Laboratory, and enhanced and distributed by HOMER Energy, HOMER (Hybrid Optimization Model for Multiple Energy Resources) nests three powerful tools in one software product, so that engineering and economics work side by side. HOMER is used as it can simplify and compare hundreds of alternatives of optimization in a single run. This also enables to observe the effects of external factors, including wind speed and insolation, and comprehend the effect of fluctuations in the ideal system.

For making the online microgrid simulation, some elements are used. The elements are an IG based wind generator, a primary load, a converter, a battery, and a PV panel. There are two buses used. PV cell should be connected with DC bus only. It is used only when the wind power is not enough there to start the generator. A battery is also used there with the DC bus as the backup source of power. The generator is connected with AC bus only since it is an IG. A primary load is connected to AC bus. The system simulation model is shown in **Figure 3**.

The converter here is connected with both AC and DC bus as shown in **Figure 3**. After the connection is done the results can be calculated and optimized with different number of iterations. The solar and wind data are obtained for the proposed place for setup using NREL laboratory as shown in **Figure 4**.
Figure 3. Simulation model of the proposed system.

Figure 4. Solar radiation data and wind speed data variation for different months in a year for the installed place.

The PV module is employed when the availability of wind power is low although it always remains connected to system and surplus energy is stored in battery. So, the PV module can supply loads during such cases. Whenever the wind power is low, the PV module provides the power and whenever PV module obtained power is low, the wind generates the power as seen from Figure 4. It is concluded that the two powers are complementing each other for the proposed place of setup. The HOMER is used mainly to provide economic analysis along with technological support analysis. Therefore, using the software, the tabulated optimized cost output is shown in Table 1.

Table 1. Analysis of the proposed microgrid system with SEIG and PV support.

<table>
<thead>
<tr>
<th>Initial capital ($)</th>
<th>Net present cost ($)</th>
<th>Operating cost ($/year)</th>
<th>Cost of energy ($/kwh)</th>
<th>Renewable fraction</th>
</tr>
</thead>
<tbody>
<tr>
<td>4580</td>
<td>5350</td>
<td>105</td>
<td>0.158</td>
<td>1.00</td>
</tr>
</tbody>
</table>
The different optimized costs are assessed using the HOMER software when provided with the initial cost of the system components. These costs are verified using a market survey. With the 1 kW IG for the setup, two 500W-peak polycrystalline silicon PV panels are used. Both the panels have voltage rating of 48 V. Deep cycle lead acid storage batteries with ratings of 12 V and 150 Ah of four numbers in series with overcharge/discharge protection are used for the connected system. The peak load is of 760 W. The batteries offer a two-hour backup. The 22 A charge controller in used for charging purpose. The turbine-generator system costs about $3000, and replacing it will set you back $2500. Annually, the cost of operation and maintenance is estimated to be 2% of the original cost. The turbine is erected at a hub height of 10 m. The simulation uses a PV panel rated for 1 kW-peak, 48 V, with a capital cost of $1000 with 2% of initial cost reserved for annual maintenance. The converter is rated at 1 kW, costs $300 in original capital, and requires $200 in replacement with zero maintenance annually. The battery costs $100 in capital when purchased, and it costs $50 to replace. Watering the battery is mostly covered by the $5 yearly operation and maintenance fee. The table provides the initial capital requirement after discounts, the net present cost of the system and the operating cost for running such a system in a year. The different cost parameters are simulated and optimized in HOMER. To calculate the Net Present Cost (\(NPC\)) of the system, the discounted costs are typically deducted from the present values of the various power sources. Thus, 

\[
NPC = C_i + C_o + C_r - (C_e + C_s)
\]

(1)

\(C_o\) is the system operation and maintenance cost, \(C_i\) is the initial system cost, \(C_r\) is the cost of system replacement, \(C_e\) and \(C_s\) are the sold surplus electricity cost and the salvaging cost of equipment at the end of total project life. The \(C_e\) value is calculated per hour and it is dependent on the load demand and power generated from the system. The value of \(C_r\) is calculated from the studies of Chatterjee and Banerjee [26], Dufo-López et al. [27] as, 

\[
C_r = \sum_{i=1}^{j} \left( \frac{1 + g_k}{1 + I_r} \right)^{j_k} C_{r_k}
\]

(2)

where, \(C_{r_k}\) is cost of the replaced component, \(g_k\) is inflation rate and \(I_r\) is the rate of interest and \(j_k\) is total lifetime of \(k\)-th component. The value of \(C_s\) can be given as, 

\[
C_s = \frac{R_c - \left( R_p - R_r \right)}{R_c}
\]

(3)

where, \(R_c\), \(R_p\) and \(R_r\) are respectively the individual component life, total project life and duration of replacement cost calculation. The cost of operation per year is the summation of running costs and other expenses which essentially exclude the initial cost of the system. The initial system cost \(C_i\) is given as, 

\[
C_i = C_{wtg}P_{wtg} + C_{pv}P_{pv} + C_{cnv}P_{cnv} + C_{bat}P_{bat} + C_cP_c
\]

(4)

where, \(C\) denotes unit cost and \(P\) denotes nominal power of sources of wind turbine generator, PV panel, converters and batteries respectively. The \(C_c\) denotes unit cost of controller and its nominal power denoted as \(P_c\) which can be neglected. The various equations show that the \(NPC\) is a variable that may be tuned to reduce the overall cost of the system. The HOMER is used to do this task. The cost of energy
(COE) is another metric that establishes the optimal net present cost. The ratio of the yearly cost of electricity production to the total electric load provided is used to determine this number. It is defined as,

$$COE = \frac{C_a}{E_s}$$

where, total annualized cost of the proposed system is given as $C_a (\$/yr)$ and total load in terms of energy served is denoted as $E_s (\text{kWh/yr})$. It may be roughly understood as the NPC to total load supplied ratio.

It is estimated that the system is going to be used for a period of 25 years. By the time, the net present cost of the system can be mostly salvaged. The cost of generation from fossil fuels is around $0.120 per kWh [28] to $0.140 per kWh [29] which also is comparable with full renewable energy generation in the present case.

The cost of production of one unit of energy for such a system is shown to be lower which is a definite advantage. Such a system when connected to grid can thus supply power at a very cheap rate.

5. Results and discussion

The hardware implementation of the project is done in two parts. Firstly, the simulation results are tested using MATLAB/Simulink. It uses the preset models of the three-phase induction machine and other components. The IG model is considered with a stationary reference frame and having the same parameters as that of the experimental machine. The hardware implementation is tested using a laboratory prototype. Secondly, a smart electronic load controller is designed for the proposed IG hardware setup.

The 3-phase induction machine operated as generator is rated at 2 kW 415 V, 50 Hz and is wound for 4 stator poles. The machine parameters are provided in Appendix. The voltage generation initiates at a speed of 1150 rpm at no load without the proposed control (Figure 2). Although the speed is low for generation, the capacitor used reduces the operating point of the IG and thus generation initiates at lower speed. However, the generated voltage frequency at this speed is lower than 50 Hz. As the generator reaches a steady state, terminal loads across the main windings of the generator can be connected. The experimental setup as shown in Figure 5 uses an induction machine coupled with a DC machine. The DC machine acts as a prime mover. The DC machine can be used to emulate wind turbine characteristics with some modifications. The generator side bidirectional converter is controlled using a simple voltage loop-based proportional-integral (PI) controller. This controller is responsible for providing stable voltage output with load and speed transients. The voltage reference is taken as a dynamic function of available wind speed ($\omega_r$) which is compared with generated voltage. The error is fed to the PI controller. The output of PI controller is the current reference which is used to generate pulses for the converter using hysteresis band-based control sensing the generator current. The PI controller is tuned using Ziegler-Nichols method. Initially, the integral gain is set to zero and proportional gain is increased from zero until sustained oscillations are observed. This gain value and oscillation time are used to set integral gain for minimal overshoot with judicious settling time. The controller is shown in Figure 6.
The fixed frequency inverter is operated at 50 Hz for supplying the connected loads.

![Figure 5](image-url) **Figure 5.** Experimental setup of the IG system with the smart electronic load controller.

![Figure 6](image-url) **Figure 6.** Controller for IG side converter.

However, it is assumed that the prime mover drives the generator at rated speed considering that some gears may be used in real practice with the wind turbine to generate at rated speed for the IG. It was observed that the mechanical contacts of the laboratory-based experimental IG are competent enough for repeating use. This is due to mechanical wear and tear and thus an electronic contactor switch was necessary. An ESP8266 controlled relay switch is developed for connecting the variable capacitors and loads. This is done using electronic relay switch module. The connection of the relay coil for switching loads and capacitances makes the circuit better in use for the different loads and wind speed conditions. At first, the system is simulated using a three-phase induction machine model which is used as IG in MATLAB/Simulink using standard stationary frame model [30].

The simulated model has the same ratings as the proposed experimental setup. The proposed control is implemented for the simulated model. The generated voltage profile along with change in wind speed is shown in **Figure 7**. With the proposed converter control, the voltage regulation is shown to be good with minimal change in voltage when the shaft speed is reduced by 200 r/min. Thus, with speed transients, the voltage is maintained stable.
Next, the IG voltage variation with change in load from 1 A to 1.8 A at rated speed is done for the proposed control. It is observed that the generated voltage minimally changes with change in load. The same is shown in Figure 8. The load variation is done using the electronic load controller.

The experimental waveforms for proposed scheme are also shown. The generated voltage profile along with similar change in load and wind speed as that of the simulation study are shown in Figure 9a,b respectively. It is observed that the simulation and experimental results are in good agreement.
The electronic load controller switching transients are observed experimentally. With change in load, the observed waveform is shown in Figure 10. It is seen that the controller transients are minimal. The voltage regulation for such change in load is shown in Figure 9a. The observed voltage regulation is better than most conventional control schemes. The load and speed transients are also better. Moreover, the cost and complexity of the scheme is also on the lower side which are the added advantages.

![Experimental waveform for change in load in SELC.](image)

**Figure 10.** Experimental waveform for change in load in SELC.

### 6. Conclusion

This research presents a technical and economic analysis of wind energy conversion system with its integration to a microgrid structure for isolated electricity generation. The modeling is done in HOMER software which provides the platform to simulate the economic viability of the scheme. The cost of generation obtained is comparable to conventional generation with lesser system complexity. The bidirectional converter control helps to provide stable voltage with an active voltage control loop. The designed smart electronic load controller also helps to provide flexible switching of loads with minimal transients for load control.

Characteristics of the SEIG with its proposed control is studied in MATLAB/Simulink environment and later validated with a laboratory experimental prototype. It is found to be a viable solution for providing power to a remote location. With even proper cost details, the accuracy of the cost optimization can be increased. Moreover, some meta-heuristic or artificial intelligence-based optimization techniques can also be applied for further improved results.

In the future, suitable design procedures with further optimizations for the IG can be implemented. Further, the study of grid integration of the IG with the proposed controller can be a scope of research and its performance improvement study can also be considered.

**Conflict of interest:** The author declares no conflict of interest.

### References


## Appendix

**Table A1. Induction machine parameters.**

<table>
<thead>
<tr>
<th>Parameters</th>
<th>Values per phase</th>
</tr>
</thead>
<tbody>
<tr>
<td>Stator circuit resistance</td>
<td>6.44 Ω</td>
</tr>
<tr>
<td>Rotor circuit resistance</td>
<td>6.57 Ω</td>
</tr>
<tr>
<td>Stator and rotor leakage inductance</td>
<td>8.55 mH</td>
</tr>
<tr>
<td>Magnetising inductance</td>
<td>276.55 mH</td>
</tr>
<tr>
<td>Inertia constant</td>
<td>0.142 kg·m²</td>
</tr>
</tbody>
</table>